

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Tongwen Wang

Serial No.:

09/927,738

Filed:

August 10, 2001

Entitled:

Compositions and Methods for

Modulating TGF-B Signaling

Examiner

Group Art Unit:

1645

Conf. No.:

7298

CERTIFICATE OF MAILING UNDER 37 CFR 1.10

I hereby certify that the paper (and any paper or fee referred to as being enclosed) is being deposited with the United States Postal Service using Express Mail to Addressee Service, under 37 C.F.R. Section 1.10, Express Mail Label No. EL326923836US on this date, 12/11/21, postage prepaid, in an envelope addressed to Box: Missing Parts, Commissioner for Patents, Washington, D.C. 20231.

Kathleen M. Williams

Name of Person Mailing Paper

Signature of Person Mailing Paper

Box: Sequence

Commissioner for Patents Washington, D.C. 20231

STATEMENT UNDER 37 C.F.R. §1.821(f) and (g)

Sir:

This paper is submitted in response to the Notice to File Missing Parts mailed by the USPTO on September 20, 2001, 2001.

In accordance with 37 C.F.R. §1.821 (f) I hereby state that the paper copy and the computer readable form of the Sequence Listing submitted herewith in the above-identified patent application are supported in the application and contain no new matter. I hereby state that the information recorded in computer readable form is identical to the written sequence listing.

In accordance with 37 C.F.R. §1.821 (g), I hereby state that the computer readable form of the Sequence Listing submitted herewith contains no new matter.

Respectfully submitted,

Date: 12/11/21

Name: Kathleen Williams

Registration No.: 34,380

Palmer & Dodge LLP

111 Huntington Avenue Boston, MA 02199-7613

Tel: 617-239-0100

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COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

FORMALITIES LETTER

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/927.738

08/10/2001

Tongwen Wang

17633/1082

CONFIRMATION NO. 7298

27495 PALMER & DODGE, LLP ONE BEACON STREET BOSTON, MA 02108-3190

Docksted Response Due, N. L.n.

OC000000006580360 Statutory Period 11 120

Palmer & Dodge LLP Patent Department

Date Mailed: 09/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$65.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention 💂 is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact

■ For Rules Interpretation, call (703) 308-4216

■ To Purchase Patentin Software, call (703) 306-2600

■ For Patentin Software Program Help, call (703) 306-4119 or e-mail at

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patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

Atty. Docket No.: 17633/1082 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Tongwen Wang

Examiner

Filed:

09/927,738

August 10, 2001

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Kathleen M. Williams

Name of Person Mailing Paper

Signature of Person Mailing Paper

Box: Missing Parts

Commissioner for Patents Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL APPLICATION

Sir:

This is filed in response to the Notice to File Missing Parts of Non-Provisional Application mailed September 20, 2001.

1. The Notice stated that the Declaration is unsigned. An executed Declaration/Power of Attorney is enclosed.

2. The Notice stated that the a late filing fee or oath or declaration surcharge is due.

A check is enclosed in the amount of \$120.00 which includes:

- a) \$65.00 surcharge for late filing fee or declaration; and
- **b**) \$55.00 extension of time.
- 3. The Notice stated that the application fails to comply with the requirements of 37 C.F.R. 1.821-1.825.

A paper copy and computer readable copy of the Sequence Listing is enclosed.

Respectfully, submitted,

Name: Kathleen Williams Registration No.: 34,380 Palmer & Dodge LLP 111 Huntington Avenue Boston, MA 02199-7613

Tel: 617-239-0100





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Application of:

Tongwen Wang

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Compositions and Methods for Modulating TGF-B Signaling

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Kathleen M. Williams

Name of Person Mailing Paper

Signature of Person Mailing Paper

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Commissioner for Patents Washington, D.C. 20231

TRANSMITTAL LETTER

Enclosed for filing in the above-identified patent application, please find the following documents:

- 1. Response to Notice to File Missing Parts mailed September 20, 2001;
- 2. Copy of Notice to File Missing Parts- Part 2;
- 3. Executed Declaration and Power of Attorney;
- Sequence Listing Paper Copy; 4.
- 5. Sequence Listing on Computer Readable Diskette;
- 6. Statement under 37 C.F.R. §1.821(f) and (g)
- Petition for Extension of Time; 7.
- Check in the amount of \$120.00 for the requisite fee; and 8.
- 9. Return Post Card.

The Commissioner for Patents is hereby authorized to charge any additional fees or credit any overpayment in the total fees to Deposit Account No. 16-0085, Reference No. 17633/1082. A duplicate of this transmittal letter is enclosed for this purpose.

Respectfully submitted,

Date: 12/11/01

Name: Kathleen Williams

Registration No.: 34,380 Palmer & Dodge LLP

111 Huntington Avenue Boston, MA 02199-7613

Tel: 617-239-0100